





PATENT 5226-02200

DECLARATION

As a below named in sector, I hereby declare that:

My residence, pos	st office and citizenship	are as stated below next to	my name.
named inventors believe the subject matter which	hey are the original, fir h is claimed and for	st and joint inventors (if p which a patent is sou	ne is listed below) or the below lural names are listed below) of ght on the invention entitled LIO OF SECURITIES, the
is attached X was filed		as Application Serial No	. <u>09/970,078</u> .
		and understand the cony any amendment referred	tents of the above identified to above.
	entability of the subject	et matter claimed in this	Office all information known to application, as "materiality" is
			tes Code, § 119 of any foreign
application for patent or i		listed below and have als ving a filing date before the	nat of the application on which
application for patent or in priority is claimed:		ving a filing date before th	
application for patent or in priority is claimed: PRIOR FO	nventor's certificate ha	ving a filing date before th	nat of the application on which Priority
application for patent or in priority is claimed:	nventor's certificate ha	ving a filing date before th	nat of the application on which Priority <u>Claimed</u>
application for patent or in priority is claimed: PRIOR FO <u>N/A</u> (Number)	nventor's certificate ha	ving a filing date before the	Priority Claimed Yes/No
application for patent or in priority is claimed: PRIOR FO	nventor's certificate ha	ving a filing date before the	nat of the application on which Priority <u>Claimed</u>
application for patent or in priority is claimed: PRIOR FO N/A (Number) I hereby claim the application(s) listed belowed is the prior United States Code, § 112 to the patentability of the Code of Federal Regular	(Country) (Country) (Country) he benefit under Title and, insofar as the subted States application is the subject matter claimed tions, § 1.56, which	(Date Filed) (Date Filed) 35, United States Code oject matter of each of the n the manner provided by ity to disclose all information this application, as "magnetic filed" in the second sec	Priority Claimed Yes/No Yes/No Yes/No 120 of any United States claims of this application is not the first paragraph of Title 35, ion known to me to be material steriality" is defined in Title 37, in the filing date of the prior
application for patent or in priority is claimed: PRIOR FOR MA (Number) I hereby claim the application(s) listed below disclosed in the prior United States Code, § 112 to the patentability of the Code of Federal Regular application and the national N/A	(Country) (Country)	(Date Filed) (Date Filed) (Date Filed) 35, United States Code object matter of each of the nanner provided by the ty to disclose all information this application, as "matter of each of the state of the nanner provided by the disclose all information this application, as "matter of the state of this application date of this application."	Priority Claimed Yes/No Yes/No Yes/No 120 of any United States claims of this application is not the first paragraph of Title 35, ion known to me to be material steriality" is defined in Title 37, in the filing date of the prior on:
application for patent or in priority is claimed: PRIOR FO N/A (Number) I hereby claim the application(s) listed below disclosed in the prior United States Code, § 112 to the patentability of the Code of Federal Regular application and the national	(Country) (Country)	(Date Filed) (Date Filed) 35, United States Code oject matter of each of the n the manner provided by ity to disclose all information this application, as "mathecome available between the disclose all information the manner provided by ity to disclose all information the manner provi	Priority Claimed Yes/No Yes/No Yes/No 120 of any United States claims of this application is not the first paragraph of Title 35, ion known to me to be material steriality" is defined in Title 37, in the filing date of the prior

(Application Serial No.) (Filing Date) (Status)

I hereby claim the benefit under provisional application(s) listed below:	er title 35, United States code	: §119(e) of a	ny United	States
(Application Serial No.)	(Filing Date)			
(Application Serial No.)	(Filing Date)			

Please direct all communications as follows:

Eric B. Meyertons CONLEY, ROSE & TAYON, P.C. P.O. Box 398 Austin, Texas 78767-0398 Ph: (512) 476-1400

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:

Inventor's Signature:

Country of Residence:

Post Office Address:

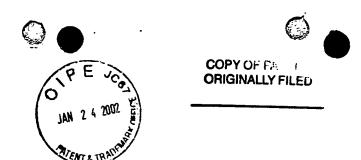
John Bunda

Date: 12/10/200/

Citizenship: 45+

Austin 7x 7875/

(Include number, street name, city, state and zip code)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application No.: 09/970,078 Filed: October 3, 2001

Inventor(s):
John Bunda

Title:

METHODS AND SYSTEMS FOR MANAGING A PORTFOLIO OF SECURITIES Examiner:

Unknown

Group/Art Unit:

2163

Atty. Dkt. No: 52

5226-02200

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

DATE OF DEPOSIT: (2-31-0)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:

Commissioner for Patents
Washington DC 20231

Jackie L. Pitre

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned, being Assignee of record of the entire interest in the above-identified application by virtue of an assignment recorded in the United States Patent and Trademark Office as set forth below, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

The Assignee hereby revokes any previous Powers of Attorney and appoints:

Mark K. Brightwell	Reg. No. 47,446
Brenna A. Brock	Reg. No. 48,509
Kevin L. Daffer	Reg. No. 34,146
Mark R. DeLuca	Reg. No. 44,649
Mollie E. Hamel	Reg. No. 48,405
Jeffrey C. Hood	Reg. No. 35,198
Robert C. Jahnke	Reg. No. 44,800
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David W. Quimby	Reg. No. 39,338
Rory D. Rankin	Reg. No. 47,884
David A. Rose	Reg. No. 26,223
Doug Shamah	Reg. No. 45,093

each an attorney or agent of the firm of CONLEY, ROSE & TAYON, P.C., as its attorney or agent for so long as they remain with such firm, with full power of substitution and revocation, to prosecute the application, to make alterations and amendments therein, to transact all business in the Patent and Trademark Office in connection therewith, and to receive any Letters Patent, and for one year after issuance of such Letters Patent to file any request for a certificate of correction that may be deemed appropriate.

Pursuant to 37 C.F.R. § 3.73, the undersigned has reviewed the evidentiary documents, specifically the Assignment to ProTrader Technologies, L.P., referenced below, and certify that to the best of my knowledge and belief, title remains in the name of the Assignee.

Please direct all communications as follows:

Eric B. Meyertons, Esq. CONLEY, ROSE & TAYON, P.C. P.O. BOX 398 AUSTIN, TEXAS 78767-0398 (512) 476-1400 (voice) (512) 703-1250 (facsimile)

ASSIGNEE:

PROTRADER TECHNOLOGIES, L.P.

Title: Lentzer Coursez

Date: _____

ASSIGNMENT: x Enclosed for recording